

Recent acceleration of human adaptive evolution

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Genomic surveys in humans identify a large amount of recent positive selection. Using the 3.9-million HapMap SNP dataset, we found that selection has accelerated greatly during the last 40,000 years. We tested the null hypothesis that the observed age distribution of recent positively selected linkage blocks is consistent with a constant rate of adaptive substitution during human evolution. We show that a constant rate high enough to explain the number of recently selected variants would predict (i) site heterozygosity at least 10-fold lower than is observed in humans, (ii) a strong relationship of heterozygosity and local recombination rate, which is not observed in humans, (iii) an implausibly high number of adaptive substitutions between humans and chimpanzees, and (iv) nearly 100 times the observed number of high-frequency linkage disequilibrium blocks. Larger populations generate more new selected mutations, and we show the consistency of the observed data with the historical pattern of human population growth. We consider human demographic growth to be linked with past changes in human cultures and ecologies. Both processes have contributed to the extraordinarily rapid recent genetic evolution of our species.

HapMap | linkage disequilibrium | Neolithic | positive selection

Human populations have increased vastly in numbers during the past 50,000 years or more (1). In theory, more people means more new adaptive mutations (2). Hence, human population growth should have increased in the rate of adaptive substitutions: an acceleration of new positively selected alleles.

Can this idea really describe recent human evolution? There are several possible problems. Only a small fraction of all mutations are advantageous; most are neutral or deleterious. Moreover, as a population becomes more and more adapted to its current environment, new mutations should be less and less likely to increase fitness. Because species with large population sizes reach an adaptive peak, their rate of adaptive evolution over geologic time should not greatly exceed that of rare species (3).

But humans are in an exceptional demographic and ecological transient. Rapid population growth has been coupled with vast changes in cultures and ecology during the Late Pleistocene and Holocene, creating new opportunities for adaptation. The past 10,000 years have seen rapid skeletal and dental evolution in human populations and the appearance of many new genetic responses to diets and disease (4).

In such a transient, large population, size increases the rate and effectiveness of adaptive responses. For example, natural insect populations often produce effective monogenic resistance to pesticides, whereas small laboratory populations under similar selection develop less effective polygenic adaptations (5). Chemostat experiments on *Escherichia coli* show a continued response to selection (6), with continuous and repeatable responses in large populations but variable and episodic responses in small populations (7). These results are explained by a model in which smaller population size limits the rate of adaptive evolution (8). A population that suddenly increases in size has the potential for rapid adaptive change. The best analogy to recent human evolution may be the rapid evolution of domesticates such as maize (9, 10).

Human genetic variation appears consistent with a recent acceleration of positive selection. A new advantageous mutation that escapes genetic drift will rapidly increase in frequency, more quickly than recombination can shuffle it with other genetic variants (11). As a result, selection generates long-range blocks of linkage disequilibrium (LD) across tens or hundreds of kilobases, depending on the age of the selected variant and the local recombination rate. The expected decay of LD with distance surrounding a recently selected allele provides a powerful means of discriminating selection from other demographic causes of extended LD, such as bottlenecks and admixture (9, 12).

The important reason for this increase in discrimination is the vastly different genomic scale that LD-based approaches use compared with previous methods (scales of millions of bases rather than thousands of bases). LD methods use polymorphism distance and order information and frequency to search for selection, unlike all previous methods (9, 12). Previous methods, therefore, have difficulty defining selection unambiguously from other population architectures on the kb scale usually examined. On the megabase (Mb) scale examined by LD approaches, however, extensive modeling and simulations indicate that other demographic causes of extensive LD can be discriminated easily from those caused by adaptive selection (9). Further, current LD approaches restrict comparisons to a set of frequencies and inferred allele ages for which neutral explanations are essentially implausible.

Previously, we applied the LD decay (LDD) test to SNP data from Perlegen and the HapMap (13), finding evidence for recent selection on $\approx 1,800$ human genes. We refer to these as ascertained selected variants (ASVs). The probabilistic LDD test searches for the expected decay of adjacent SNPs surrounding a recently selected allele. Importantly, the method is insensitive to local recombination rate, because local rate influences the extent of LD surrounding both alleles, while the method looks for LD differences between alleles. Further, the method relies only on high heterozygosity SNPs for analysis, exactly the type of data obtained for the HapMap project.

The number of ASVs detected encompasses some 7% of human genes and is consistent with the proportion found in another survey using a related approach (12). Because LD decays quickly over time, most ASVs are quite recent (14), compared with other approaches that detect selection over longer evolutionary time scales (15, 16). Many human genes are now known to have strongly selected alleles in recent historical times, such as lactase (17, 18), *CCR5* (19, 20), and *FY* (21). These surveys show that such genes are very common. This observation

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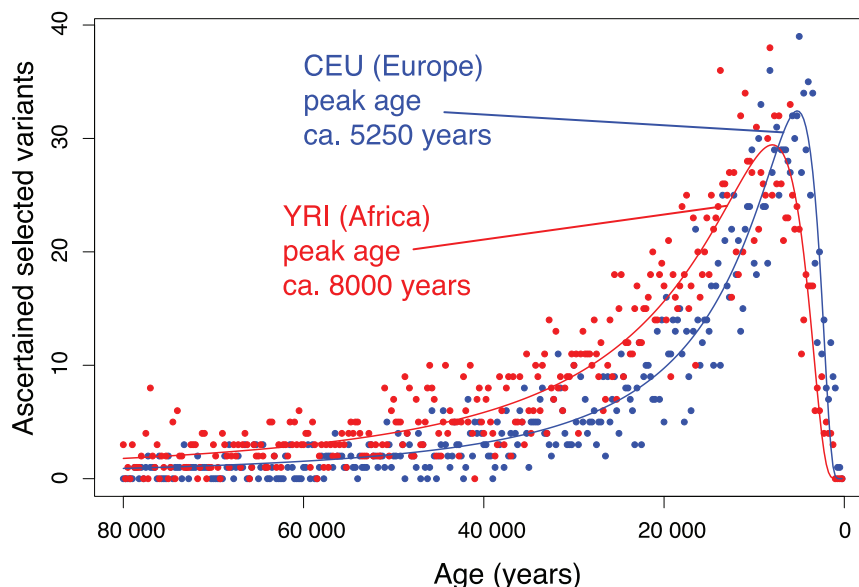


Fig. 1. Age distribution of ascertained selected alleles. Each point represents the number of variants dated to a single 10-generation bin. Fitted curves are the number of ascertained variants predicted by Eq. 2 under a constant population size and constant $\bar{s} = 0.022$ for YRI and $\bar{s} = 0.034$ for CEU. The distribution drops to zero approaching the present, because all alleles have frequencies $>22\%$ today. The 2,965 (YRI) and 2,246 (CEU) selection ages shown have had 509 alleles removed that are likely examples of ongoing balanced selection (*SI Appendix*). Including these alleles in the analysis does not change the overall conclusion of acceleration of selection.

4. The null hypothesis predicts that many selected alleles should be found between 78% and 100% frequency. Positively selected alleles follow a logistic growth curve, which proceeds very rapidly through intermediate frequencies. Because selected alleles spend relatively little time in the ascertainment range, the ascertained blocks should be the “tip of the iceberg” of a larger number of recently selected blocks at or near fixation. For example, the ASVs in the YRI dataset have a modal age of $\approx 8,000$ years ago. Based on the diffusion model for selection on an additive gene, ascertained variants should account for only 18% of the total number of selected variants still segregating. In contrast, 41% of segregating variants should be $>78\%$. Dominant alleles (which have a higher fixation probability) progress even more slowly ($>78\%$), so that additivity is the more conservative assumption. Empirically, few such near-fixed variants with high LD scores have been found in the human genome (13). Modifying the LDD algorithm to specifically search for high-frequency “fixed” alleles found only 50 potential sites, in contrast to the $>5,000$ predicted by the constant rate model. Although it is possible that the rapid LDD expected for older selected alleles near fixation may not be detected as efficiently by the LDD test, two other surveys have also found small numbers of such events (22, 23). This difference of two orders of magnitude is a strong refutation of the null hypothesis.

Population Growth. The rate of adaptive evolution in human populations has indeed accelerated within the past 80,000 years. The results above demonstrate the extent of acceleration: the recent rate must be one to two orders of magnitude higher than the long-term rate to explain the genomewide pattern.

Population growth itself predicts an acceleration effect, because the number of new mutations increases as a linear product of the number of individuals (2), and exponential growth increases the fixation probability of new adaptive mutations (37). We considered the hypothesis that the magnitude of human population growth might explain a large fraction of the recent acceleration of new adaptive alleles. To test this hypothesis, we constructed a model of historic and prehistoric population

growth, based on historical and archaeological estimates of population size (1, 38, 39).

Population growth in the Upper Paleolithic and Late Middle Stone Age began by 50,000 years ago. Several archaeological indicators show long-term increases in population density, including more small-game exploitation, greater pressure on easily collected prey species like tortoises and shellfish, more intense hunting of dangerous prey species, and occupation of previously uninhabited islands and circumarctic regions (40). Demographic growth intensified during the Holocene, as domestication centers in the Near East, Egypt, and China underwent expansions commencing by 10,000 to 8,000 years ago (41, 42). From these centers, population growth spread into Europe, North Africa, South Asia, Southeast Asia, and Australasia during the succeeding 6,000 years (42, 43). Sub-Saharan Africa bears special consideration, because of its initial large population size and influence on earlier human dispersals (44). Despite the possible early appearance of annual cereal collection and cattle husbandry in North Africa, sub-Saharan Africa has no archaeological evidence for agriculture before 4,000 years ago (42). West Asian agricultural plants like wheat did poorly in tropical sun and rainfall regimes, while animals faced a series of diseases that posed barriers to entry (45). As a consequence, some 2,500 years ago the population of sub-Saharan Africa was likely <7 million people, compared with European, West Asian, East Asian, and South Asian populations approaching or in excess of 30 million each (1). At that time, the sub-Saharan population grew at a high rate, with the dispersal of Bantu populations from West Africa and the spread of pastoralism and agriculture southward through East Africa (46, 47). Our model based on archaeological and historical evidence includes large long-term African population size, gradual Late Pleistocene population growth, an early Neolithic transition in West Asia and Europe, and a later rise in the rate of growth in sub-Saharan Africa coincident with agricultural dispersal (Fig. 2).

As shown in Fig. 3, the demographic model predicts the recent peak ages of the African and European distributions of selected variants, at a much lower average selection intensity than the constant population size model. In particular, the demographic

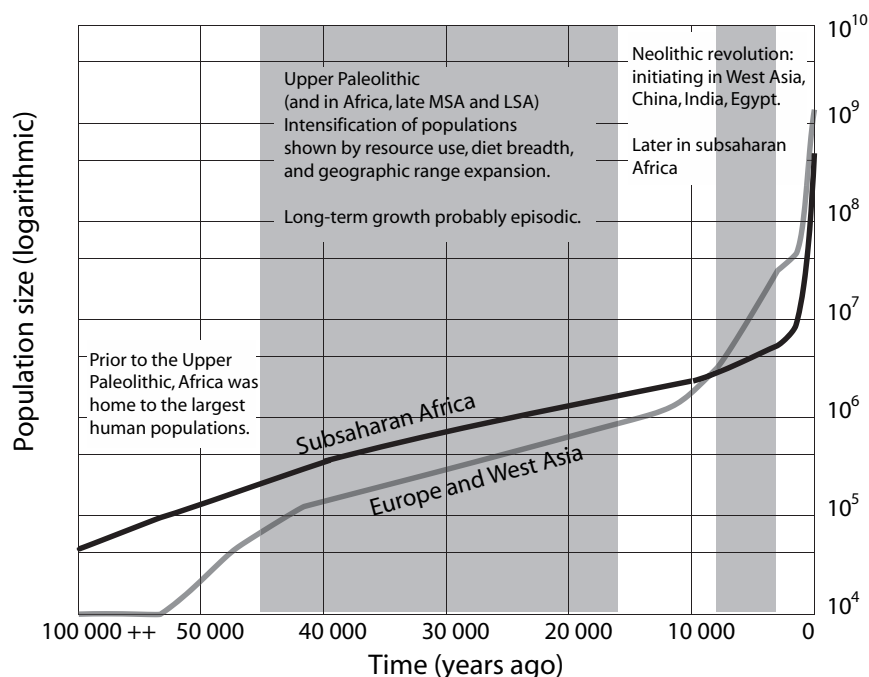


Fig. 2. Historic and prehistoric population size estimates for human populations (*SI Appendix*). Key features are the larger ancestral African population size and the earlier Neolithic growth in core agricultural areas.

model readily explains the difference in age distributions between YRI and CEU samples: the YRI sample has more variants dating to earlier times when African populations were large compared with West Asia and Europe, whereas earlier Neolithic growth in West Asia and Europe led to a pulse of recent variants in those regions. The data that falsify the constant rate model, such as the observed genomewide heterozygosity value and the probable number of human–chimpanzee adaptive substitutions, are fully consistent with the demographic model.

Discussion

Our simple demographic model explains much of the recent pattern, but some aspects remain. Although the small number of high-frequency variants (between 78% and 100%) is much more consistent with the demographic model than a constant rate of change, it is still relatively low, even considering the rapid acceleration predicted by demography. Demographic change may be the major driver of new adaptive evolution, but the detailed pattern must involve gene functions and gene-environment interactions.

Cultural and ecological changes in human populations may explain many details of the pattern. Human migrations into Eurasia created new selective pressures on features such as skin pigmentation, adaptation to cold, and diet (25, 26, 28). Over this time span, humans both inside and outside of Africa underwent rapid skeletal evolution (48, 49). Some of the most radical new selective pressures have been associated with the transition to agriculture (4). For example, genes related to disease resistance are among the inferred functional classes most likely to show evidence of recent positive selection (9). Virulent epidemic diseases, including smallpox, malaria, yellow fever, typhus, and cholera, became important causes of mortality after the origin and spread of agriculture (50). Likewise, subsistence and dietary changes have led to selection on genes such as lactase (18).

It is sometimes claimed that the pace of human evolution should have slowed as cultural adaptation supplanted genetic adaptation. The high empirical number of recent adaptive variants would seem sufficient to refute this claim (9, 12). It is important to note that the peak ages of new selected variants in our data do not reflect the highest intensity of selection, but merely our ability to detect selection. Because of the recent acceleration, many more new adaptive mutations should exist than have yet been ascertained, occurring at a faster and faster rate during historic times. Adaptive alleles with frequencies $<22\%$ should then greatly outnumber those at higher frequencies. To the extent that new adaptive alleles continued to reflect demographic growth, the Neolithic and later periods would have

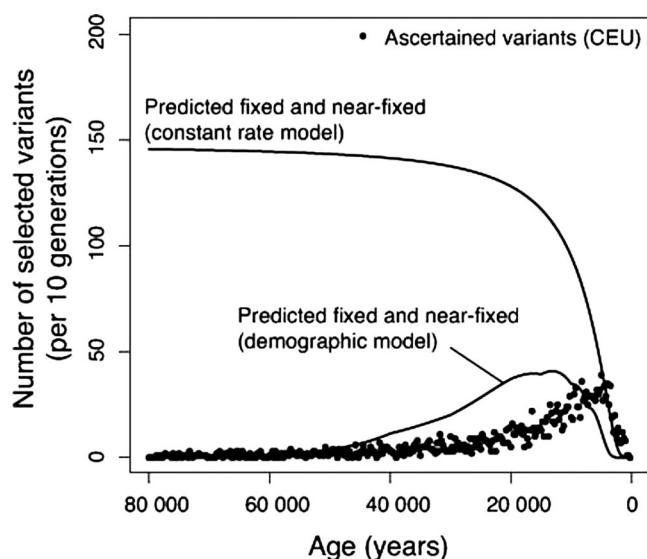


Fig. 3. Tip of the iceberg. Both the demographic and constant-rate models can account for the age distribution of ascertained variants (CEU data shown), but they differ greatly in the expected number of variants above the ascertainment frequency (fixed or near-fixed). The demographic model predicts a low long-term substitution rate and few alleles >78%, consistent with the observed data.

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